

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,517	08/01/2001	Michael F. Clarke	060173-0014 (UMIP-003)

CONFIRMATION NO. 6988

## FORMALITIES LETTER

\*OC000000006844988\*

John Prince  
McDermott, Will & Emery  
28 State Street  
Boston, MA 02109-1775

Date Mailed: 10/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice MUST be returned with the reply.*



Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BNGUYEN1 00000056 09920517

01 FC:205

65.00 DP



COPIES  
ORIGINAL

sector\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Clarke *et al.*  
Application Serial No.: 09/920,517  
Filed: August 1, 2001  
Title: ISOLATION AND USE OF SOLID TUMOR STEM CELLS

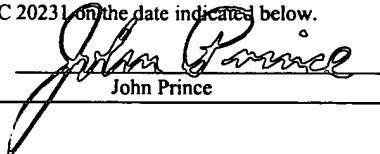
Group Art Unit: 1645

Atty. Docket No.: 060173-0014 (UMIP-003)

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(1)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below.

Date: October 23, 2001

  
John Prince

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS


In response to the Notice to File Missing Parts of Nonprovisional Application in the above-identified patent application, dated October 4, 2001, Applicants hereby enclose:

- ☒ Combined Declaration and Power of Attorney for Patent Application (3) (3 pages each);
- ☒ a check in the amount of \$65.00 to cover the surcharge fee for a small entity;
- ☒ a copy of the Notice to File Missing Parts of Nonprovisional Application (1 page);
- ☒ a corrected application Data Sheet (4 pages); and
- ☒ a return postcard.

Please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1133. A duplicate copy of this letter is provided.

Respectfully Submitted,

Date: October 23, 2001

  
John Prince, Reg. No. 43,019  
McDERMOTT, WILL & EMERY  
28 State Street  
Boston, Massachusetts 02109-1775  
Telephone: 617-535-4435  
Facsimile 617-535-3800